

Social, Behavioral, and Economic Research Needs in Aquaculture - 2019

TABLE OF CONTENTS

I. Funding Opportunity Description	3
A. Program Objective	3
B. Program Priorities	3
C. Program Authority	4
II. Award Information	4
A. Funding Availability	4
B. Project/Award Period	5
C. Type of Funding Instrument	5
III. Eligibility Information	5
A. Eligible Applicants	5
B. Cost Sharing or Matching Requirement	5
C. Other Criteria that Affect Eligibility	6
IV. Application and Submission Information	6
A. Address to Request Application Package	6
B. Content and Form of Application	6
C. Unique Entity Identifier and System for Award Management (SAM)	10
D. Submission Dates and Times	11
E. Intergovernmental Review	11
F. Funding Restrictions	11
G. Other Submission Requirements	11
V. Application Review Information	12
A. Evaluation Criteria	12
B. Review and Selection Process	12
C. Selection Factors	13
D. Anticipated Announcement and Award Dates	13
VI. Award Administration Information	13
A. Award Notices	13
B. Administrative and National Policy Requirements	14
C. Reporting	17
VII. Agency Contacts	18
VIII. Other Information	18

ANNOUNCEMENT OF FEDERAL FUNDING OPPORTUNITY

EXECUTIVE SUMMARY

Federal Agency Name(s): Oceanic and Atmospheric Research (OAR), National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

Funding Opportunity Title: Social, Behavioral, and Economic Research Needs in Aquaculture - 2019

Announcement Type: Initial

Funding Opportunity Number: NOAA-OAR-SG-2019-2005953

Catalog of Federal Domestic Assistance (CFDA) Number: 11.417, Sea Grant Support

Dates: Letters of Intent must be received by electronic mail (oar.hq.sg.aquaculture@noaa.gov) to the National Sea Grant Office by 5:00 p.m. Eastern Time on April 2, 2019.

Eligible applicants must submit application materials by 5:00 pm Eastern Time on May 1, 2019 to Grants.gov.

Projects should plan to start September 1, 2019 and run for up to 3 years.

Funding Opportunity Description: The National Sea Grant College Program (Sea Grant) was enacted by U.S. Congress in 1966 (amended in 2008, Public Law 110-394) to support leveraged federal and state partnership that harness the intellectual capacity of the nation's universities and research institutions to solve problems and generate opportunities in coastal communities.

The National Sea Grant Office anticipates around \$3,000,000 will be available to support research to address critical gaps in social, behavioral, and economic knowledge as it relates to U.S. aquaculture and the communities impacted and served by it. The initiative is informed by the National Strategic Plan for Federal Aquaculture Research; the Sea Grant 10-Year NOAA Sea Grant Aquaculture Vision; and previous research from federal, state, and university scientists.

This document sets out requirements for submitting to NOAA-OAR-SG-2019-2005953. Additional guidance and tips on how best to prepare an application are provided in the Sea Grant General Application Guide available at (<https://seagrant.noaa.gov/Portals/1/Guidance/SeaGrantGeneralApplicationGuide.pdf>).

FULL ANNOUNCEMENT TEXT

I. Funding Opportunity Description

A. Program Objective

NOAA provides funding to Sea Grant institutions to increase the understanding, assessment, development, management, utilization, and conservation of the Nation's ocean, coastal, and Great Lakes resources by providing assistance to promote a strong educational base, responsive research and training activities, broad and prompt dissemination of knowledge and techniques, and multidisciplinary approaches to environmental problems, in accordance with 33 USC 1121(b).

Sea Grant investments in aquaculture are supported by its mission to enhance the practical use and conservation of coastal, marine and Great Lakes resources in order to create a sustainable economy and environment. The aquaculture industry sits at the crossroads of economy and environment. The focus of this competition on the socio-behavioral and economic sciences is timely as many social, behavioral, and economic questions about aquaculture in the U.S. remain to be answered.

The success of aquaculture in the U.S. relies on innovative science and technologies as well as public understanding, and ultimately support, of these advances. While aquaculture in the U.S. has been growing since 1966, it remains well below its potential in the world seafood supply industry. Researchers have identified potential reasons for U.S. aquaculture's lagging growth including varying and unfavorable permitting regulations; opposition to aquaculture production by landowners, conservation organizations, and wild-harvest fishing communities; lack of knowledge of return on investment or available tools for entry; and concerns about the environmental impact and consumer confidence of aquaculture products and by-products.

This competition focuses on supporting research to address critical gaps in social, behavioral, and economic knowledge as it relates to U.S. aquaculture and the communities impacted and served by it. Selected research proposals will be required to participate in an annual National Sea Grant Aquaculture Symposium to share results of work done, challenges, and next steps.

B. Program Priorities

This competition will support research projects that will address critical gaps in social,

behavioral, and economic knowledge as it relates to U.S. aquaculture and the communities impacted and served by it. For this competition, the word aquaculture encompasses the techniques, communities, and stakeholders working with production of protein from marine (ocean, coastal and Great Lakes) systems, and traditional and indigenous practices.

Successful applications will propose to complete at least one of the following:

- Analyses of aquaculture business models that involve collaborative or cooperative relationships with commercial fishing and/or conservation communities;
- Assessments that identify local, state, and/or federal regulatory and policy barriers to entry and/or expansion for commercial aquaculture;
- Assessments that identify social and cultural perceptions of commercial aquaculture production and products in the United States;
- Economic analyses, including modelling, that evaluates the relative cost and value of different aquaculture systems, species, as well as major components of production and distribution.

C. Program Authority

Statutory authority for this program is provided under the National Sea Grant College and Program Act of 1966, as amended (33 USC 1121 et seq.).

II. Award Information

A. Funding Availability

Subject to the availability of funding, this announcement describes how eligible applicants should apply for Social, Behavioral, and Economic Research Needs in Aquaculture. We anticipate having approximately \$3,000,000 to fund projects of up to a total cost of \$250,000 in Federal funds (pending Federal appropriations). Projects will be competitively selected and run for up to two years. We anticipate awarding approximately 13 awards.

There is no guarantee that funds will be available to make awards, or that any Application will be selected for funding. If an applicant incurs any costs prior to receiving an award agreement signed by an authorized NOAA official, it does so at its own risk of not being

selected or of these costs not being included in a subsequent award. NOAA and DOC will not be responsible for any incurred project costs if this program fails to receive full funding.

B. Project/Award Period

The anticipated start date is September 1, 2019, with projects to be completed by August 31, 2021.

C. Type of Funding Instrument

Applications selected for funding will be funded through grants or cooperative agreements to the appropriate Sea Grant Program. Cooperative agreements will be used if the proposed project includes substantial NOAA involvement as described in the award.

III. Eligibility Information

A. Eligible Applicants

The following entities are eligible and encouraged to participate in this funding opportunity: any individual; any public or private corporation, partnership, or other association or entity (including any Sea Grant College, Sea Grant Institute or other institution); or any State, political subdivision of a State, Tribal government or agency or officer thereof. Federal agencies and their personnel are not permitted to receive federal funding under this competition; however, federal scientists and other employees can serve as uncompensated partners or co-Principal Investigators on applications. Federal labs and offices can also make available specialized expertise, facilities or equipment to applicants but cannot be compensated under this competition for their use, nor can the value of such assets be used as match.

Sea Grant champions diversity, equity, and inclusion (DEI) by recruiting, retaining and preparing a diverse workforce, and proactively engaging and serving the diverse populations of coastal communities. Sea Grant is committed to building inclusive research, extension, communication and education programs that serve people with unique backgrounds, circumstances, needs, perspectives and ways of thinking. We encourage applicants of all ages, races, ethnicities, national origins, gender identities, sexual orientations, disabilities, cultures, religions, citizenship types, marital statuses, education levels, job classifications, veteran status types, and income, and socioeconomic status types to apply for this opportunity.

B. Cost Sharing or Matching Requirement

Non-federal matching funds equal to at least 50 percent of the federal funding request

must be provided unless a waiver justified by statute is granted. The cumulative match at the end of each year of the grant must not fall below 50 percent of the cumulative federal request up to that point. In-kind contributions directly supporting this Application may count towards this matching requirement. See the Sea Grant General Application Guide (<https://seagrant.noaa.gov/Portals/1/Guidance/SeaGrantGeneralApplicationGuide.pdf>) for more information.

C. Other Criteria that Affect Eligibility

None.

IV. Application and Submission Information

A. Address to Request Application Package

Application packages are available online and can be downloaded from www.grants.gov under opportunity NOAA-OAR-SG-2019-2005953. If an eligible applicant does not have access to the internet, please contact the Agency Contacts listed in Section VII for submission instructions.

B. Content and Form of Application

This section provides an overview of these required proposal elements (and where to locate them). Applications must adhere to the provisions under "Required Elements" below. Failure to include these elements will result in rejection of the application without review.

Additional guidance on Sea Grant-specific form completion can be found here: Sea Grant General Application Guide (<https://seagrant.noaa.gov/Portals/1/Guidance/SeaGrantGeneralApplicationGuide.pdf>)

Applicants must redact all Personally Identifiable Information (PII) in the application materials prior to final submission to grants.gov. PII that must be redacted from the application includes social security number, date of birth, and student identification number (from transcripts).

Required Elements:

Letter of Intent Requirements

Letters of Intent will allow the National Sea Grant Office to gauge interest and topics that

may be submitted. To do this, please provide the following basic information:

- Team leadership
 - Name(s)
 - Position(s)
 - Contact information
- Brief discussion of the focal topic or geographic issue and approach
 - Should not exceed 2 pages
 - Should include the approximate funding to be requested

Full Proposal Requirements

1. Project Narrative

The Application must include the following documents in the following format:

a) Project Proposal Narrative

The proposal project narrative should clearly lay out the background and state the problem motivating the proposed work, how it responds to the priorities of this funding opportunity, and how it will lay the foundation for future work. The proposal should also define who appropriate end user groups are, demonstrate their involvement in the project, and how research results will be put to use. Applicants should budget travel to attend and participate in annual National Sea Grant Aquaculture Symposiums. Dates and location for the Symposiums will be announced annually by the National Sea Grant Office.

The narrative must be not more than 15 pages, inclusive of footnotes, tables, figures and appendices, but excluding references/literature citations, short CVs, and letters of support.

The Project Proposal Narrative must include the following elements:

- (1) Introduction/background/problem statement
- (2) Project objectives
- (3) General work plan and milestones
- (4) Anticipated outcomes
- (5) Clear outreach & engagement plan that involves appropriate end-users and includes recommendations for transitioning research finding or products to application.
- (6) References and literature citations (does not count against page limits)
- (7) Short CVs (no longer than 2 pages) for each principal and co-principal investigator (as relevant; does not count against page limits)

(8) Letters of Intent/Support (as relevant; if included, they do not count against page limits)

b) Sea Grant 90-2 Project Summary Short Form (OMB Control No. 0648-0362)

A required Excel file where each project within the Application must be detailed on a separate 90-2 tab of the form, and the overall yearly budget must be provided on the "Yearly Breakdown" tab. The 90-2 Short Form can be found here:

<https://seagrant.noaa.gov/insideseagrant/Implementation>. Guidance on how to complete the form can be found on the tab in the form titled, "Instructions". Additional guidance is located in the Sea Grant General Application Guidance Document (<https://seagrant.noaa.gov/Portals/1/Guidance/SeaGrantGeneralApplicationGuide.pdf>).

c) Abbreviated Environmental Compliance Questionnaire (OMB Control No. 0648-0538)

A separate questionnaire must be filled out for each project in the application that involves any of the below categories of activities. The Questionnaire can be found here:

<https://seagrant.noaa.gov/insideseagrant/Implementation>. Guidance on how to complete the questionnaire is located in the Sea Grant General Application Guidance Document (<https://seagrant.noaa.gov/Portals/1/Guidance/SeaGrantGeneralApplicationGuide.pdf>).

Categories for which a Questionnaire must be completed:

- Research--all Research projects and all other projects that include a component of research (including social science research)
- Environmental permits, authorizations or waivers
- Biological take and/or release
- Environmental sampling
- Hazardous or toxic substances and waste
- Permanent or temporary environmental effects
- Endangered or threatened species and/or protected areas
- Known or unknown risks to human health or the environment
- Controversial environmental subject matter

Exceptions - Projects or actions that fall into the below categories do not need an abbreviated environmental compliance questionnaire:

- Program management
- Placeholder projects Workshops or conferences that do not involve the above list of actions
- Communication activities that do not involve the above list of actions
- Fellowships where the Sea Grant Program does not have discretion over the fellow's

externally funded research

d) Data Management Plan

See Section VI. B. (8)

2. Budget Narrative

Each individual project included with in the application must also include the following budget documents (Sea Grant 90-4 Forms and Budget Justification Narratives) in the following format:

a) Sea Grant 90-4 Form (OMB Control No. 0648-0362)

A required form used to provide budget breakdowns and budget justifications by year and object class for the overall proposal and each project in this application. The template can be found here - <https://seagrant.noaa.gov/insideseagrant/Implementation> - and guidance on filling out the form is located in the Sea Grant General Application Guidance Document. The following are required:

- Completed Sea Grant 90-4 Forms describing the yearly and total budgets of the proposal overall (i.e., Year 1, Year 2, Year 3, Year, 4, and Years 1-4).
- A completed Sea Grant 90-4 Form covering the full duration of each project in the application.
- A completed Sea Grant 90-4 Form covering each year of the each project in the application.
- Completed Sea Grant 90-4 Forms for the yearly and total budget of any sub-awards associated with each project in the application (i.e., Year 1, Year 2, Year 3, Year, 4, and Years 1-4).

b) Budget Justification

A budget justification narrative for each year of each project in the application that explains budget items in sufficient detail to enable review of the appropriateness of the funding requested. This document should be attached as a PDF to each Sea Grant 90-4 Form, as appropriate. Guidance on completing the justifications is located in the Sea Grant General Application Guidance Document

(<https://seagrant.noaa.gov/Portals/1/Guidance/SeaGrantGeneralApplicationGuide.pdf>).

3. Overall Application

In addition to the forms required above, standard Federal Forms and Assurances are required for the overall application and can be found with the Application package on Grants.gov. These include:

a) SF-424 Form (Grants.gov, OMB Control No. 4040-0004)

This form, titled “Application for Federal Assistance,” must identify the entire funding period, as well as the federal funding amount being requested by the applicant and the non-federal matching fund amount. The form must be completed with the institution’s accurate EIN and DUNS and Point of Contact, and signed by the institution’s authorized representative or designee.

b) SF-424A Form (Grants.gov, OMB Control No. 4040-0006)

This form, titled “Budget Information - Non-Construction Programs,” must describe the entire funding period in federal and non-federal dollars, for the entire application. Fill out Section B of this SF-424A form to show the overall budget breakdown by object class by year. Federal and match years should each have their own column.

c) SF-424B Assurances (Grants.gov, OMB Control No. 4040-0007)

The form, titled “Assurances – Non-Construction Programs,” must be completed and signed by the institution's authorized representative or designee.

d) CD-511 (Grants.gov, US Department of Commerce)

The form, titled “Certification Regarding Lobbying,” must be completed and signed by the institution’s authorized representative or designee. In some instances, the SF-LLL Disclosure of Lobbying Activities form may also be required. See the instructions on the CD-511 for further information.

e) SF-LLL (Grants.gov, OMB Control No. 0348-0046)

The form, titled “Disclosure of Lobbying Activities,” must be completed and signed by the institution’s authorized representative or designee, if appropriate.

C. Unique Entity Identifier and System for Award Management (SAM)

To enable the use of a universal identifier and to enhance the quality of information

available to the public as required by the Federal Funding Accountability and Transparency Act, 31 U.S.C. 6101 note, to the extent applicable, any proposal awarded in response to this announcement will be required to use the System for Award Management (SAM), which may be accessed online at <https://www.sam.gov/SAM/>. Applicants are also required to use the Dun and Bradstreet Universal Numbering System, as identified in OMB guidance published at 2 CFR Parts 25, which may be accessed at https://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Title02/2cfr25_main_02.tpl.

D. Submission Dates and Times

Letters of Intent must be received by electronic mail (oar.hq.sg.aquaculture@noaa.gov) to the National Sea Grant Office by 5:00 p.m. Eastern Time on April 2 , 2019.

Eligible applicants must submit application materials by 5:00 pm Eastern Time on May 1, 2019 to [Grants.gov](https://www.grants.gov).

E. Intergovernmental Review

Applications under Sea Grant are not subject to Executive Order 12372, "Intergovernmental Review of Federal Programs."

F. Funding Restrictions

The Sea Grant Act at 33 USC 1124(d)(2) states:

"No payment under any grant or contract under this section may be applied to:

(A) the purchase or rental of any land; or

(B) the purchase, rental, construction, preservation, or repair of any building, dock, or vessel; except that payment under any such grant or contract may be applied to the short-term rental of buildings or facilities for meetings which are in direct support of any Sea Grant Program or project and may, if approved by the Secretary, be applied to the purchase, rental, construction, preservation, or repair of non-self-propelled habitats, buoys, platforms, and other similar devices or structures, or to the rental of any research vessel which is used in direct support of activities under any Sea Grant Program or project."

G. Other Submission Requirements

None

Letters of Intent must be submitted to the National Sea Grant Office via electronic mail at

oar.hq.sg.aquaculture@noaa.gov.

Proposals must be submitted through Grants.gov. If an eligible applicant does not have access to the internet, please contact the Agency Contacts listed in Section VII for submission instructions.

V. Application Review Information

A. Evaluation Criteria

1. Importance/relevance and applicability of proposed project(s) to the program priorities (30 points). This criterion ascertains whether there is intrinsic value in the proposed work and/or relevance to NOAA, federal, regional, state, or local activities.

2. Technical/scientific merit (25 points). This criterion assesses whether the approach is technically sound and/or innovative, if the methods are appropriate, and whether there are clear project goals, objectives, and data management considerations.

3. Overall qualifications of applicants (10 points). This criterion ascertains whether the applicant possesses the necessary education, experience, training, facilities, and administrative resources to accomplish the project.

4. Project costs (10 points). This criterion evaluates the budget to determine if it is realistic and commensurate with the project needs and time frame.

5. Outreach and Education (25 points). This criterion assesses whether the project provides a focused and effective education and outreach strategy regarding NOAA's mission to protect the Nation's natural resources.

B. Review and Selection Process

Applicants that fail to provide a letter of intent on time will be ineligible to submit a full proposal. A response acknowledging receipt of the letter of intent will be provided.

An initial administrative review is conducted to determine compliance with requirements and completeness of the Application. Applications that do not comply with the requirements for this competition, including by exceeding page limits, missing forms, or coming from ineligible applicants, will be rejected without further review.

Applications that pass the administrative review will be reviewed by a technical panel drawn

from experts in a range of disciplines that are relevant to the applications. Each proposal will receive at least three written reviews from the panelists assessing its merits with regard to the evaluation criteria.

The proposals, supplemented with information from the Abbreviated Environmental Compliance Questionnaire, will also be reviewed by the Federal Program Officer to assess the environmental compliance of the proposed actions. The Federal Program Officer, NEPA Staff Lead, or Grants Specialist may contact the applicant to discuss questions about the environmental effects, merit, or administrative correctness of the application, and may delay approval of the application, or impose conditions on the award preventing funding or execution of certain activities, until all questions are satisfactorily answered.

C. Selection Factors

The Selecting Official shall award in the rank order unless the proposal is justified to be selected out of rank order based on one or more of the following factors:

- Availability of funding.
- Balance/distribution of funds:
 - geographically
 - by type of institution
 - by type of partners
 - by research priority
 - by project types
- Duplication of other projects funded or considered for funding by NOAA/Federal agencies.
- Program priorities and policy factors.
- Applicant's prior award performance.
- Partnerships with/Participation of targeted groups.
- Adequacy of information necessary for NOAA staff to make a NEPA determination and draft necessary documentation before recommendations for funding are made to the Grants Officer.

D. Anticipated Announcement and Award Dates

Subject to the availability of funds, awards are expected to start September 1, 2019.

VI. Award Administration Information

A. Award Notices

Successful applicants will receive notification when the Application has been recommended for funding to the NOAA Grants Management Division. This notification is not an authorization to begin performance of the project. Official notification of funding, signed by the NOAA Grants Officer, is the authorizing document that allows the project to begin. Unsuccessful applicants will be notified that their proposal was not selected.

B. Administrative and National Policy Requirements

(1) Department of Commerce Pre-Award Notification Requirements For Grants And Cooperative Agreements - The Department of Commerce Pre-Award Notification Requirements for Grants and Cooperative Agreements contained in the Federal Register notice of December 30, 2014 (79 FR 78390) are applicable to this solicitation and may be accessed online at <https://www.gpo.gov/fdsys/pkg/FR-2014-12-30/pdf/2014-30297.pdf>.

(2) Uniform Administrative Requirements, Cost Principles, and Audit Requirements - Through 2 C.F.R. § 1327.101, the Department of Commerce adopted Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards at 2 C.F.R. Part 200, which apply to awards in this program. Refer to <http://go.usa.gov/SBYh> and <http://go.usa.gov/SBg4>.

(3) Department of Commerce Terms and Conditions - Successful applicants who accept a NOAA award under this solicitation will be bound by Department of Commerce Financial Assistance Standard Terms and Conditions. This document will be provided in the award package in NOAA's Grants Online system at <https://grantsonline.rdc.noaa.gov/flows/home/Login/LoginController.jspf> and is also available at http://www.ago.noaa.gov/grants/external_links.html, as well as http://www.osec.doc.gov/oam/grants_management/policy/documents/Department%20of%20Commerce%20Standard%20Terms%20&%20Conditions%2031%20March%202017.pdf..

(4) Limitation of Liability - Funding for programs listed in this notice is contingent upon the availability of appropriations. Applicants are hereby given notice that funds may not have been appropriated yet for the programs listed in this notice. In no event will NOAA or the Department of Commerce be responsible for proposal preparation costs. Publication of this announcement does not oblige NOAA to award any specific project or to obligate any available funds.

(5) Unpaid or Delinquent Tax Liability - In accordance with Section 523 of Division B and Sections 744 and 745 of Division E of the Consolidated and Further Continuing Appropriations Act, 2015 (Pub. L. 113-235) or a future public law, an authorized representative of the selected applicant(s) will be required to provide certain pre-award

representations regarding federal felony and federal criminal tax convictions, unpaid federal tax assessments, and delinquent federal tax returns. The form must be completed and submitted with grant applications for: (a) all for-profit and non-profit organization applicants (Part I, and if required, Part II); and (b) all non-Federal entity applicants anticipating receipt of \$5 million or more in the current Federal Fiscal Year appropriated funding (Part II only). The form can be found at <http://www.ago.noaa.gov/grants/forms.html>.

(6) National Environmental Policy Act (NEPA)

- NOAA must analyze the potential environmental impacts, as required by the National Environmental Policy Act (NEPA), for applicant projects or proposals which are seeking NOAA Federal funding opportunities. Detailed information on NOAA compliance with NEPA can be found at the following NOAA NEPA website: <http://www.nepa.noaa.gov/>, including our NOAA Administrative Order 216-6 for NEPA, http://www.nepa.noaa.gov/NAO216_6.pdf, and the Council on Environmental Quality implementation regulations, http://energy.gov/sites/prod/files/NEPA-40CFR1500_1508.pdf. Consequently, as part of an applicant's package, and under their description of their program activities, applicants are required to provide detailed information on the activities to be conducted, locations, sites, species and habitat to be affected, possible construction activities, and any environmental concerns that may exist (e.g., the use and disposal of hazardous or toxic chemicals, introduction of non-indigenous species, impacts to endangered and threatened species, aquaculture projects, and impacts to coral reef systems). In addition to providing specific information that will serve as the basis for any required impact analyses, applicants may also be requested to assist NOAA in drafting an environmental assessment, if NOAA determines an assessment is required. Applicants will also be required to cooperate with NOAA in identifying feasible measures to reduce or avoid any identified adverse environmental impacts of their proposal. Failure to do so shall be grounds for not selecting an application. In some cases if additional information is required after an application is selected, funds can be withheld by the Grants Officer under a special award condition requiring the recipient to submit additional environmental compliance information sufficient to enable NOAA to make an assessment on any impacts that a project may have on the environment.

(7) Review of Risk - After Applications are proposed for funding by the Selecting Official, the Grants Office will perform administrative reviews, including an assessment of risk posed by the applicant under 2 C.F.R. 200.205. These may include assessments of the financial stability of an applicant and the quality of the applicant's management systems, history of performance, and the applicant's ability to effectively implement statutory, regulatory, or other requirements imposed on non-Federal entities. Special conditions that address any risks determined to exist may be applied. Applicants may submit comments to the Federal

Awardee Performance and Integrity Information System (FAPIS) about any information included in the system about their organization for consideration by the awarding agency.

(8) Data Sharing Plan - 1. Environmental data and information collected or created under NOAA grants or cooperative agreements must be made discoverable by and accessible to the general public, in a timely fashion (typically within two years), free of charge or at no more than the cost of reproduction, unless an exemption is granted by the NOAA Program. Data should be available in at least one machine-readable format, preferably a widely-used or open-standard format, and should also be accompanied by machine-readable documentation (metadata), preferably based on widely used or international standards. 2. Proposals submitted in response to this Announcement must include a Data Management Plan of up to two pages describing how these requirements will be satisfied. The Data Management Plan should be aligned with the Data Management Guidance provided by NOAA in the Announcement. The contents of the Data Management Plan (or absence thereof), and past performance regarding such plans, will be considered as part of proposal review. A typical plan should include descriptions of the types of environmental data and information expected to be created during the course of the project; the tentative date by which data will be shared; the standards to be used for data/metadata format and content; methods for providing data access; approximate total volume of data to be collected; and prior experience in making such data accessible. The costs of data preparation, accessibility, or archiving may be included in the proposal budget unless otherwise stated in the Guidance. Accepted submission of data to the NOAA National Centers for Environmental Information (NCEI) is one way to satisfy data sharing requirements; however, NCEI is not obligated to accept all submissions and may charge a fee, particularly for large or unusual datasets. 3. NOAA may, at its own discretion, make publicly visible the Data Management Plan from funded proposals, or use information from the Data Management Plan to produce a formal metadata record and include that metadata in a Catalog to indicate the pending availability of new data. 4. Proposal submitters are hereby advised that the final pre-publication manuscripts of scholarly articles produced entirely or primarily with NOAA funding will be required to be submitted to NOAA Institutional Repository after acceptance, and no later than upon publication. Such manuscripts shall be made publicly available by NOAA one year after publication by the journal.

(9) Indirect Cost Rate - If an applicant has not previously established an indirect cost rate with a Federal agency they may choose to negotiate a rate with the Department of Commerce or use the de minimis indirect cost rate of 10% of Modified Total Direct Cost (MTDC) (as allowable under 2 C.F.R. §200.414). The negotiation and approval of a rate is subject to the procedures required by NOAA and the Department of Commerce Standard Terms and Conditions. Do not include participant support costs when determining the

indirect cost base. Additionally, applicants should be aware that most indirect cost rate agreements require that participant support costs be excluded from the Modified Total Direct Cost base when calculating indirect costs. Applicants should reference their official agreements. The NOAA contact for indirect or facilities and administrative costs is: Lamar Revis, Grants Officer, NOAA Grants Management Division, 1325 East West Highway, 9th Floor, Silver Spring, MD 20910, or lamar.revis@noaa.gov.

(10) Minority Serving Institutions - The Department of Commerce National Oceanic and Atmospheric Administration (DOC NOAA) is strongly committed to increasing the participation of Minority Serving Institutions (MSIs), i.e., Historically Black Colleges and Universities, Hispanic-serving institutions, Tribal colleges and universities, Alaskan Native and Native Hawaiian institutions, and institutions that work in underserved communities.

(11) Freedom Of Information Act (FOIA) - In the event that an Application contains information or data that you do not want disclosed prior to award for purposes other than the evaluation of the Application, mark each page containing such information or data with the words "Privileged, Confidential, Commercial, or Financial Information - Limited Use" at the top of the page to assist NOAA in making disclosure determinations. DOC regulations implementing the Freedom of Information Act (FOIA), 5 U.S.C 552, are found at 15 C.F.R. Part 4, which sets forth rules for DOC to make requested materials, information, and records publicly available under FOIA. The contents of funded Applications may be subject to requests for release under the FOIA. Based on the information provided by the applicant, the confidentiality of the content of funded Applications will be maintained to the maximum extent permitted by law.

(12) NOAA Sexual Assault and Sexual Harassment Prevention and Response Policy - If NOAA-operated, leased, or owned facilities are involved in any awards funded under this announcement, such awards are subject to the NOAA Sexual Assault and Sexual Harassment Prevention and Response Policy Applicable to Financial Assistance Awards Involving NOAA-Operated Facilities (May 2018) found at:
http://www.ago.noaa.gov/grants/facilities_assault_policy.html.

C. Reporting

The Federal Funding Accountability and Transparency Act (31 U.S.C. 6101) includes a requirement for awardees of applicable Federal grants to report information about first-tier subawards and executive compensation under Federal assistance awards. All awardees of applicable grants and cooperative agreements are required to report to the Federal Sub-award Reporting System (FSRS) available at <https://www.fsr.gov/> on all sub-awards over \$25,000. Refer to 2 CFR Parts 170.

VII. Agency Contacts

For questions about this competition, please contact the Sea Grant aquaculture team (oar.hq.sg.aquaculture@noaa.gov).

The National Sea Grant Office mailing address is:
NOAA Sea Grant
1315 East-West Highway SSMC3, R/SG
Silver Spring, MD 20910 301-734-1066

VIII. Other Information

Potential applicants are encouraged to check Sea Grant's national website at - <https://seagrants.noaa.gov/Funding> - for information about an upcoming webinar related to this announcement.

Recipients are required to use the National Sea Grant Planning Implementation and Evaluation Reporting (PIER) project database to communicate with the National Sea Grant Office on activities relating to this award. This includes tracking progress and impacts, in addition to performance metrics.

The information provided in the Sea Grant 90-2 Form will be uploaded into the PIER project database. Once the project is approved and funded, that information will be publicly available and searchable on the Sea Grant public website (<http://seagrants.noaa.gov>).

The grant Application and final report of all funded grants are public documents, except for privileged information or material that is personal, proprietary or otherwise exempt from disclosure under law. Appropriate labeling in the application will aid identification of what may be specifically exempt.